

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of

Inventor(s): ELLIS

Atty. Dkt.: 251016

Appln. No.: 09/315,026

Group Art Unit: 2755

Filed: May 20, 1999

Examiner: Unknown

FOR: GLOBAL NETWORK COMPUTERS

October 1, 1999

SUBMISSION OF SUPPLEMENTAL DECLARATION

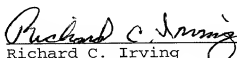
Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Attached is the Supplemental Declaration for the above-  
noted application.

Respectfully submitted  
PILLSBURY, MADISON & SUTRO LLP

By:

  
Richard C. Irving  
Reg. No.: 38,499  
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DSL/RCI:vlj

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(202) 861-3000

FOR UTILITY/PATENT  
CIP/PCT NATIONAL/PATENT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PM & S  
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter and for which a patent is sought on the INVENTION ENTITLED GLOBAL NETWORK COMPUTERS

the specification of which (CHECK applicable BOX(ES))  
X ☐ Is attached hereto.  
BOX(ES) ☒ was filed on May 20, 1999 as U.S. Application No. 09 / 315,026  
☒ was filed as PCT International Application No. PCT/ on

and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)		Date first Laid-open or Published	Date Patented or Granted	Priority Claimed
Number	Country	Day/MONTH/Year Filed		Yes No

I hereby claim domestic priority benefit under 35 U.S.C. 119/20/365 of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)		Status	Priority Claimed
Application No. (series code/serial no.)	Day/MONTH/Year Filed	pending, abandoned, patented	Yes No
60/066,516	22/May/1998	Expired	X
60/066,588	22/May/1998	Expired	X
60/066,948	27/May/1998	Expired	X
60/087,587	01/June/1998	Expired	X
60/088,459	08/June/1998	Expired	X
09/213,875	17/December/1998	Pending	X
60/068,366	19/December/1997	Expired	X
508/980,058	26/November/1997	Pending	X
60/066,415	24/November/1997	Expired	X
60/066,313	21/November/1997	Expired	X
60/033,871	20/December/1996	Expired	X
60/032,207	02/December/1996	Expired	X
60/031,855	29/November/1996	Expired	X
PCT/US98/27058	17/December/1998	Pending	X
PCT/US97/21812	28/November/1997	Pending	X
60/134,552	17/May/1999	Pending	X
09/065,755	21/May/1998	Pending	X

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Sirota LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 881-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person(s)/attorney/firm/organization who/which first send(s) this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

Paul N. Kokulis	16773	Dale S. Lazar	28872	Mark G. Paulson	30793	Michael R. Dzwonczyk	36787
Raymond F. Lippitt	17519	Paul E. White, Jr.	32011	Stephen C. Glazier	31361	W. Patrick Bengtsson	32456
G. Lloyd Knight	17698	Glenn J. Perry	28458	Paul F. McQuade	31542	Jack S. Barufka	37087
Carl G. Love	18781	Kendrew H. Colton	30368	Ruth N. Morduch	31044	Adam R. Hess	41835
Kevin E. Joyce	20508	G. Paul Edgell	24238	Richard H. Zaitlen	27248		
George M. Strilla	16221	Lynn E. Eccleston	35861	Roger R. Wise	31204		
Donald J. Bird	25323	Timothy J. Kilma	34852	Jay M. Finkelstein	21082		
Peter W. Gowday	25872	David A. Jakopin	32295	Anita M. Kirkpatrick	32617		

(1) INVENTOR'S SIGNATURE: *[Signature]*

Date: *September 24*

Frampton		E.		Ellis, III	
Residence	Arlington	City	Virginia	State/Foreign Country	U.S.A.
Post Office Address	2895 South Abingdon Street, #B2, Arlington, Virginia				
(Include Zip Code)	22206-1331				

FOR UTILITY/DESIGN  
CIR/PCT NATIONAL/PLANT  
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the specification of which (CHECK applicable BOX(ES))  
☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_  
☐ was filed as PCT International Application No. PCT/\_\_\_\_\_ on \_\_\_\_\_  
 and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_  
 I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/385 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

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60/087,587	01/Jun/1998	Pending X
60/088,459	08/Jun/1998	Pending X
09/219,875	17/December/1998	Pending X
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60/088,371	20/December/1996	Expired X
60/092,207	02/December/1996	Expired X
60/093,855	29/November/1996	Expired X
PCT/US98/27058	17/December/1998	Pending X
PCT/US97/21812	28/November/1997	Pending X
60/To Be Assigned	17/May/1999	Pending X

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements are and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Suto LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/invent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

Paul N. Kokulis	16773	Dale S. Lazar	28872	Mark G. Paulson	30793	Michael R. Dzwonczyk	36787
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Kevin E. Joyce	20508	G. Paul Edgell	24238	Richard H. Zeiflen	27248		
George M. Sirila	18221	Lynn E. Eccleston	35861	Roger R. Wise	31204		
Donald J. Bird	25323	Timothy J. Klima	34852	Jay M. Finkelstein	21082		
Peter W. Gowdey	25872	David A. Jakopin	62995	Anita M. Kirkpatrick	32617		

(1) INVENTOR'S SIGNATURE: 7/5 8/98 Date: 5/20/99

Residence	First	Middle Initial	Family Name
	Frankton	E.	Ellis, III
	City	State/Foreign Country	Country of Citizenship
	Arlington	Virginia	U.S.A.
Post Office Address	2895 South Abingdon Street, #B2, Arlington, Virginia		
(include Zip Code)	22206-1331		

(FOR ADDITIONAL INVENTORS, check box ☐ to attach PAT 116-2 same information for each re signature, name, date, citizenship, residence and address.)

Inventor(s): Frampton E. Ellis, III

Appn. / or Patent No.:

Atty. Dkt.

PMS 251016/GNC16US

M# / Client Ref.

Filed: May 20, 1999 or Issued:

For: GLOBAL NETWORK COMPUTERS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27 (b)) - **INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled as above and described in

X ☒ the specification filed herewith  
one → ☐ Application No. 1, filed  
box → ☐ Patent No.       , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e):

Each (small entity) person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention

X ☒ there is no such person, concern, or organization.  
one → ☐ such persons, concerns or organizations are listed in (A) and (B) below:

(A) FULL NAME of assignee/licensee/grantee/conveyee\*

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee\*

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

\*NOTE: Separate verified statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

1. Frampton E. Ellis, III

NAME OF INVENTOR

[Signature]  
Signature of Inventor

5/20/99  
Date

2.

NAME OF INVENTOR

Signature of Inventor

Date

3.

NAME OF INVENTOR

Signature of Inventor

Date